



## Managing Allocations – ESEA Timelines

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## Issues.....

- Thank You
- Supplement not supplant
- Managing allocations
- ESEA Timelines
- Other / questions.....



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## Federal Education Funds

- **ESEA** began in 1965 as part of President Johnson's "War on Poverty" effort
- **original law**
  - Deliver federal dollars to eligible students in eligible districts
  - With concentrations of children from low income families
  - To expand and improve their educational programs
  - Funding would supplement, not supplant, the duty of local educational agencies and schools



## Supplement not supplant

- The services/programs supported by Title funds must supplement the educational services/programs that the LEA in the absence of Title funds, would make available from non-Federal sources for the education of students participating in Title programs.
- Determine what services the LEA would have provided in the absence of Title funds to students.

# Federal Award - 27 Months



## Title Funds

### FFY 09 (SY 2009-2010) SFY 10 funds

July 1, 2009 – Sept. 30, 2010 (Forward Funded – July to September 2009)  
 Tydings Period, Sept. 30, 2010 - Sept. 30, 2011

### FFY 10 (SY 2010-2011) SFY 11 funds

July 1, 2010 – Sept. 30, 2011 (Forward Funded – July to September 2010)  
 Tydings Period, Sept. 30, 2011 - Sept. 30, 2012

### FFY 11 (SY 2011-2012) SFY 12 funds

July 1, 2011 – Sept. 30, 2012 (Forward Funded – July to September 2011)  
 Tydings Period, Sept. 30, 2012 - Sept. 30, 2013

# Manage Allocations/Competitive Awards



Accept allocation/award and assign to a state fiscal year.  
 Check for unreserved amount.

Finance Code	State Fiscal Year	Description	Award Amount	Adjusted Award Amount	Unreserved Amount	Award Status	Actions
151	2010	Formula - 151 - State Fiscal Stabilization Funds	\$513,462.05	\$513,462.05	\$0.00	Accepted	Select Action
152	2011	Stimulus - 152 - Education Jobs Fund	\$160,719.98	\$160,719.98	\$0.00	Accepted	Select Action
401	2012	Formula - 401 - SFY 2012, Title I, Part A, Improving the Academic Achievement of the Disadvantaged, CFDA 84.010A	\$92,893.98	\$92,893.98	\$0.00	Pending Acceptance	Select Action
401	2011	Formula - 401 - Title I, Part A, Improving the Academic Achievement of the Disadvantaged, CFDA 84.010A	\$81,039.47	\$81,039.47	\$807.60	Accepted	Select Action
401	2010	Formula - 401 - Title I, Part A, Improving the Academic Achievement of the Disadvantaged, CFDA 84.010A	\$85,223.91	\$85,223.91	\$0.00	Accepted	Select Action
401	2009	Formula - 401 - Title I Part A - Carry Forward, CFDA 84.010A	\$5,844.20	\$5,844.20	\$0.00	Accepted	Select Action
414	2012	Formula - 414 - SFY 2012, Title II, Part A, Teacher and Principal Training and Recruitment, CFDA 84.307A	\$30,455.00	\$30,455.00	\$0.00	Pending Acceptance	Select Action

## Allocation / Award

View Award/Allocation

**414 - 2011 Award**  
Formula - 414 - Title II, Part A: Teacher and Principal Training and Recruitment, CFDA: 84.367A

Carry Forward %:	100.0%	Award Amount:	\$504,148.33
Federal Obligate By	09/30/2012	Amount after Adjustments:	\$504,148.33
Federal Expend By	11/14/2012	Amount reserved for Budgeting:	\$504,148.33

Enter Amount (\$) for each Budget SFY

Budget State Fiscal Year	Amount for Budget(\$)	Amount Expended(\$)
2011	504148.33	\$0.00
2012	0.00	\$0.00
2013	0.00	\$0.00

Save Cancel

Assign the allocation to a state fiscal year.  
Assigning to 2011 moves the funds into the 2010-2011 application cycle.  
Assigning to 2012 will move the funds to the 2011-2012 application cycle.

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## Manage Allocations

Funds Available And Budget Summary

UFARS Course Code	Carry Forward %	Federal Obligate By	Federal Expend By	Funds Allocation	Unexpended Funds
000 - 401 2011 Award	15.0%	09/30/2012	11/14/2012	\$125,036.66	\$125,036.66
011 - Balance forward from 401 2010 Award	0.0%	09/30/2011	11/14/2011	\$55,227.48	\$52,957.10
012 - Transfer from 414 2009 Award	0.0%	09/30/2010	11/14/2010	\$18,664.82	\$0.00
011 - Transfer from 414 2010 Award	0.0%	09/30/2011	11/14/2011	\$28,905.64	\$0.00
				<b>Total:</b>	<b>\$227,834.60</b>
UFARS Report Period	Budget Obligation Period	Budget Draw Period	Total Funds Budgeted	Unbudgeted Funds	
07/01/2010 - 06/30/2011	07/01/2010 - 06/30/2011	07/01/2010 - 11/14/2011	\$171,137.47	\$56,697.13	

Unbudgeted funds are eligible to "balance forward."  
Be aware of "Federal Obligate By" and "Federal Expend By" dates (Funds are available for 27 months.)

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## Title Programs – Managing Funds

UFARS Course Code	Carry Forward %	Federal Obligate By	Federal Expend By	Funds Allocation	Unexpended Funds
000 - 401 2011 Award	15.0%	09/30/2012	11/14/2012	\$213,218.58	\$213,218.58
011 - Balance forward from 401 2010 Award	0.0%	09/30/2011	11/14/2011	\$36,744.33	\$36,744.33
000 - Transfer from 414 2011 Award	0%	09/30/2012	11/14/2012	\$4,000.00	\$4,000.00
				<b>Total:</b>	<b>\$253,962.91</b>

012 funds

Need to be used promptly.

When managing funds and developing draws consider:

**3rd** current year \$

**2nd** balance forward \$ (011 and 012)

**1st** transfer \$  
(Title I setasides may be an exception.)

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## SERVS Title Programs Navigation in SERVS

Manage allocation, unreserved amount, balance forward & transfers  
(Total available funds)

Enter narrative and budget information

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## Timeline Issues ...

- ✓ Preliminary Award Notification (27 months)
- ✓ Application (State Fiscal Year – July 1 to June 30)
- ✓ Amendment (Fall ... AYP)
- ✓ Final Allocation (25% available prior to October 1<sup>st</sup>/remainder after October 1<sup>st</sup>)
- ✓ Amendment (Spring)
- ✓ Staff License Verification (SLV in EDRS for 2010-2011 and 2011-2012)
- ✓ End of Fiscal Year (June 30)
- ✓ Amendment (Revise to Actuals)
- ✓ Expended by September 30
- ✓ UFARS Audited Data - November 30
- ✓ Closed Out – January
- ✓ Balance Forward (011 and/or 012)



ESEA Timelines Program & Fiscal Description											
July	August	September	October	November	December	January	February	March	April	May	June
MDE Screen AYP Letters											
End Fiscal Year-Revise to Actuals											
AYP and AMAO Data Verification											
Districts notified of ELL Program Review											
School Report Card published via MDE website											
AYP status - districts notify parents											
AYP parent notification for School Choice and/or Supplemental Educational Services (SES) – districts notify parents											
Unaudited preliminary UFARS/SERVS closeout review in process											
Continue consultation with nonpublic schools throughout the year as necessary											
Notify parents if teachers assigned to or working with students in core academic areas four or more consecutive weeks are not highly qualified											
Districts informed of SEA monitoring site visits (Title I, IIA)											
Plan and implement family involvement activities throughout the year											
Determine if any schools will be planning a new schoolwide Title I program. Organize to begin required planning year.											
Inform parents at Title I schools they can request information regarding qualifications of student's classroom teacher/paraprofessional											
Audited Year End UFARS/SERVS Closeout November 30											
Comparability Reporting											
UFARS/SERVS Audited Closeout process complete											
ESEA Final/ Final Allocations calculated @ 100% from USDE											
Final carry forward processed in SERVS (*carry over restriction may apply)											
ESEA Amendment Window											
ESEA Preliminary Allocations calculated @ 99% from USDE											
Begin Planning for next round ESEA Programs											
Title III Immigrant Counts - Due early April											
Annual review of parent plan and compact effectiveness.											
Testing window for MCA II's and MTELL											
Begin nonpublic consultation about allocations/services											
Evaluate effectiveness of nonpublic Title I program											
ELL, Bilingual & Migrant Conference											
ESEA Application Window to November 15											
Spring ESEA trainings											
Finalize new and updated schoolwide plans											
Title III Consortia - Due June 1											
Title III Program Evaluation Report											
ESEA 1st Final Allocations											
Fiscal year end revise to actuals											

## Questions .....



## MDE Contacts.....

Your Area Director

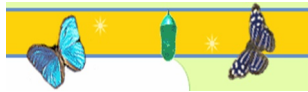
or

MDE Title Programs -- 651-582-8579

Email: [mde.nclb@state.mn.us](mailto:mde.nclb@state.mn.us)

[John.Moorse@state.mn.us](mailto:John.Moorse@state.mn.us) – 651-582-8649

**THANK YOU!** 😊



## Butterfly

Better yourself as a person and an educator

Understand thoroughly change is imperative

Teach to the child, then the curriculum

Touch the heart, then the cognitive

Enrich each life you touch

Remember, you are one person on a team

Families are foundations, you are a support beam

Like a butterfly, children and adults pass through stages of life

You can make a difference....

*As you float through life, remember to be a butterfly.*

