
ESEA Monitoring 2011-2012

MAASFP Conference
October 6, 2011

Agenda

- State Authority and Responsibility
 - 2010-2011 Summary
 - Review Process
 - 2011-2012 Focus Questions
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MDE's Authority and Responsibility

- Section 4113(a)(18) of the Elementary and Secondary Education Act (ESEA) requires that “the State will... implement a plan for monitoring the performance of, and providing technical assistance to, recipients of [ESEA/Title] funds.”
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MDE's Authority and Responsibility

- EDGAR §80.40(a) requires the state education agency to:
 - “monitor grant and subgrant supported activities to assure compliance with federal requirements and that performance goals are being achieved.”
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MDE's Authority and Responsibility

- Minnesota Statute 16B.97
 - Office of Grants Management (OGM) policy 08-10:
 - require the state agency to monitor each funding recipient on a regular basis.
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MDE's Authority and Responsibility

- Be aware of developments related to ESEA
 - Ensure LEAs are aware of requirements and expectations
 - Identify areas in need of training, technical assistance and corrective action
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2010-2011 Monitoring Summary

- 39 monitored including 6 charter schools
 - 8 with no findings of non-compliance
 - 31 with at least one finding requiring corrective action
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2010-2011 Monitoring Summary

Most Common Areas of Non-Compliance

(in order of frequency)

1. Parent Involvement
 2. Public/Parent Notification
 3. Private School Requirements
 4. Time and Effort Documentation
 - Certifications and Personnel Activity Reports (PARs)
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Review Process - Selection

- “C” rotation
 - Size/complexity of program
 - Referrals from Area Directors
 - Statutory operating debt
 - Late or lack of required fiscal or program reports
 - Geographic location
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Review Process - Preparation

- Initial and frequent contact with LEA before review
 - Desk review of Applications
 - Pre-monitoring meeting with assigned area director
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Review Process – Preparation (cont.)

- At least 30 calendar days in advance of the review, MDE sends the LEA:
 - cover letter with dates, times, etc.
 - agendas
 - Monitoring Focus Questions document
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Review Process – Onsite Visit

- Entrance conference
 - Title program (I-A and II-A) discussion/questions
 - Interviews with LEA staff and parents
 - Records review
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Review Process – Onsite Visit (cont.)

- School site visits (including non-public schools)
 - Exit conference – non-compliances shared
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Stronger Federal Emphasis on:

- Time and Effort reporting
 - Non-Public School requirements
 - Third Party Contracts
 - Parent Involvement
 - Highly Qualified Staff
 - Title II-A Programs
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Monitoring Focus Questions

- revised for 2011 - 2012
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[http://education.state.mn.us/
mde/index.html](http://education.state.mn.us/mde/index.html)

New MDE Web Site Under Construction

QUESTIONS?

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